H_{∞} Control of Markovian Switching Systems with Time-Delays: Applied to DC-DC Converters

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Abstract- The DC-DC switching power converters are highly nonlinear systems. Consequently, the conventional linear controls based on averaging and linearization techniques will result in poor dynamic performance or system instability. In order to resolve this problem, in this paper a robust state feedback H_{∞} control is proposed for these systems under Markovian switching with mixed discrete, neutral and distributed delays. Based on the Lyapunov-Krasovskii functional theory, some required sufficient conditions are established in terms of delay-dependent linear matrix inequalities for the stochastic stability and stabilization of the considered system using some free matrices. The desired control is derived based on a convex optimization method.

I. INTRODUCTION

The main task of DC-DC converters is the adaptation of the voltage and current levels between sources and loads while maintaining a low power loss in the conversion [1]-[2]. With the extensive use of DC-DC converters in different industry applications (e.g. power supplies for personal computers, DC-motor drive, telecommunications equipment, etc.), improving their performances becomes an interesting problem in recent years [1]-[7]. Recently, different converter circuits (buck converter, boost converter, buck-boost converter, Cuk converter, etc.) are known. According to each application purpose (increase or decrease the magnitude of the DC voltage and/or invert its polarity), the converter circuit was chosen. Among them, we consider here, the control of the basic Pulse-Width-Modulation (PWM) buck converters, but it could be easily adapted for other converters.

On the other hand, in recent years more attention has been devoted to the study of stochastic hybrid systems, where the so-called Markov jump systems. These systems represent an important class of stochastic systems that is popular in modeling practical systems like manufacturing systems, power systems, aerospace systems and networked control systems that may experience random abrupt changes in their structures and parameters [8]-[13]. Random parameter changes may result from random component failures, repairs or shut down, or abrupt changes of the operating point. Many such events can be modeled using a continuous time finitestate Markov chain, which leads to the hybrid description of system dynamics known as a Markov jump parameter system [14]; such a description will be utilized in the paper. The state of a Markov jump parameter system is described by continuous range variables and also a random discrete event

variable representing the regime of system operation. A great number of results on robust stability, stabilization, H_{∞} control and filtering problems related to such systems have been reported in the literatures ([8], [9], [15]).

On another research front line, time delays for many dynamic systems have been much investigated; see for example [16]. Time-delayed systems represent a class of infinite-dimensional systems largely used to describe propagation and transport phenomena or population dynamics. Delay differential systems are assuming an increasingly important role in many disciplines like economic, mathematics, science, and engineering. For instance, in economic systems, delays appear in a natural way since decisions and effects are separated by some time interval. The delay effects on the stability of systems including delays in the state and/or input is a problem of recurring interest since the delay presence may induce complex behaviors for the schemes [16]-[17].

On the other hand, stability of neutral delay systems proves to be a more complex issue because the system involves the derivative of the delayed state. Especially, in the past few decades increased attention has been devoted to the problem of robust delay-independent stability or delay-dependent stability and stabilization via different approaches for linear neutral systems with delayed state and/or input and parameter uncertainties (see [18]-[19]). Among the past results on neutral delay systems, the LMI approach is an efficient method to solve many control problems such as stability analysis and stabilization [20] and H_{∞} control problems [21]-[24]. It is also worth citing that some appreciable works have been performed to design a guaranteed-cost (observer-based) control for the neutral system performance representation [25]-[27].

In this paper, we are concerned to develop a robust H_{∞} control problem for Markovian switching systems with mixed discrete, neutral and distributed delays. The main merit of the proposed method is the fact that it provides a convex problem such the delay-dependent control gains can be found from the LMI formulations. Some required sufficient conditions are established in terms of LMIs combined with the Lyapunov-Krasovskii method for the existence of the desired control. Numerical examples are given to illustrate the use of our results for a DC-DC converter model.

Notation: The notations used throughout the paper are fairly standard. I and 0 represent identity matrix and zero matrix; the superscript T stands for matrix transposition. $\|.\|$ refers to the

Euclidean vector norm or the induced matrix 2-norm. diag{...} represents a block diagonal matrix and the operator sym(A) represents $A + A^T$. Let $\Re^+ = [0, \infty)$ and $\mathcal{E}\{.\}$ denotes the expectation operator with respect to some probability measure \mathcal{P} . If x(t) is a continuous \Re^n -valued stochastic process on $t \in [-\kappa, \infty)$, we let $x_t = \{x(t+\theta): -\kappa \le \theta \le 0\}$ for $t \ge 0$ which is regarded as a $C([-\kappa, 0]; \Re^n)$ -valued stochastic process. The notation P > 0 means that P is real symmetric and positive definite; the symbol * denotes the elements below the main diagonal of a symmetric block matrix.

II. Problem Description

Consider a class of uncertain time-delay systems with Markovian switching parameters and mixed neutral, discrete and distributed delays and norm-bounded time-varying uncertainties represented by

$$\begin{split} \dot{x}(t) - A_4(r(t)) \, \dot{x}(t-d) &= (A_1(r(t)) + \Delta \, A_1(t,(r(t)))) \, x(t) \\ &+ (A_2(r(t)) + \Delta \, A_2(t,(r(t)))) \, x(t-h) + (A_3(r(t))) \\ &+ \Delta \, A_3(t,(r(t)))) \, \int\limits_{t-\tau}^t x(s) \, ds + (A_5(r(t)) + \Delta \, A_4(t,(r(t)))) \, x(t-d) \end{split}$$

(1a)

$$x(t) = \phi(t), \qquad t \in [-\kappa, 0]$$
 (1b)

$$\begin{aligned} x(t) &= \varphi(t), & t \in \left[-\kappa, 0 \right] \\ r(t) &= r_0, & t \in \left[-\kappa, 0 \right] \end{aligned} \tag{1b}$$

$$z(t) = C(r(t))x(t) + C_{d}(r(t))x(t-d) + C_{h}(r(t))x(t-h)$$

$$+C_{\tau}(r(t))\int_{t-\tau}^{t}x(s)\,ds+D(r(t))u(t),$$
 (1d)

where $x(t) \in \Re^n$, $u(t) \in \Re^m$, $w(t) \in L_2^s[0,\infty)$ and $z(t) \in \Re^z$ are state, input, disturbance and controlled output, respectively. $A_{i}(r(t)), B_{i}(r(t)), C(r(t)), C_{d}(r(t)), C_{h}(r(t)), C_{\tau}(r(t))$ and D(r(t))are matrix functions of the random jumping process $\{r(t)\}$. $\{r(t), t \ge 0\}$ is a right-continuous Markov process on the probability space which takes values in a finite space $S = \{1, 2, ..., s\}$ with generator $\Pi = [\pi_{ij}] (i, j \in S)$ given by

$$P\{r(t+\Delta) = j \middle| r(t) = i\} = \begin{cases} \pi_{ij}\Delta + o(\Delta), & \text{if } i \neq j \\ 1 + \pi_{ii}\Delta + o(\Delta), & \text{if } i = j \end{cases}$$
 (2)

where $\Delta > 0$, $\lim_{\Delta \to 0} o(\Delta)/\Delta = 0$ and $\pi_{ij} \ge 0$, for $i \ne j$, is the transition rate from mode i at time t to mode j at time $t + \Delta$

and
$$\pi_{ii} = -\sum_{j=1, j\neq i}^{j=s} \pi_{ij}$$
. The time-varying function $\phi(t)$ is

continuous vector valued initial function and $\,\text{h,d}\,$ and $\,\tau\,$ are constant time delays with $\kappa := max\{h, d, \tau\}$. Moreover, the norm-bounded uncertainties are defined as follows:

$$\Delta A_i(t,r(t)) = H_1(r(t))\Delta(t,r(t))E_i(r(t)), \quad i = 1,2,\dots,4$$
 (3a)

$$\Delta B_{i}(t,r(t)) = H_{1}(r(t))\Delta(t,r(t))E_{4+i}(r(t)), \quad j=1,2$$
 (3b)

where $\Delta(t, r(t))$ is the uncertain time-varying matrix function the random jumping process, which $\Delta^{T}(t,r(t))\Delta(t,r(t)) \leq I$ for $\forall t \geq 0$; $r(t) = i \in S$ and $E_{i}(r(t))$ and H₁(r(t)) are known real constant matrices of the random jumping process with appropriate dimensions.

1. Uncertain time-delay system (1) with **Definition** Markovian switching parameter in (2) is said to be stochastically mean square stable if, when u(t) = 0, for any finite $\phi(t) \in \mathbb{R}^n$ defined on $[-\kappa, 0]$, and $r_0 \in S$ the following condition is satisfied

$$\mathcal{E}\{\|x(t)\|^2\} \le c \sup_{-\kappa \le s \le 0} \|x(s)\|^2, \ t > 0$$

 $\mathcal{E}\{\|x(t)\|^2\} \le c \sup_{-\kappa \le s \le 0} \|x(s)\|^2, \ t > 0$ where x(t) is the trajectory of the system state from initial system. system state $\phi(0)$ and initial mode r_0 , and c is a positive constant.

Definition 2. The H_{∞} performance measure of the system (1) is defined as $J_{\infty} = \mathcal{E}\left(\int_0^{\infty} [z^T(t)z(t) - \gamma^2 w^T(t)w(t)] dt\right)$, where the positive scalar γ is given.

Assumption 1. The full state variable x(t) is available for measurement.

In this paper, the author's attention will be focused on the design of the following robust mode-dependent delayed state feedback H_{∞} control law,

$$u_i = K_i x(t) + K_{di} x(t-d) + K_{hi} x(t-h) + K_{\tau i} \int_{t-\tau}^t x(s) ds$$
(4)

where the matrices $K_i, K_{di}, K_{hi}, K_{\tau i}$ of the appropriate $+(B_1(r(t)) + \Delta B_1(t, (r(t)))) u(t) + (B_2(r(t)) + \Delta B_2(t, (r(t)))) w(t)$, dimension is to be determined such that for any $r(t) = i \in S$ the resulting closed-loop system is stochastically stable and satisfies an H_{∞} norm bound γ , i.e. $J_{\infty} < 0$.

III. Main Results

In this section, we first investigate both the stochastic stability and H_{∞} performance of the system (1) with norm-bounded uncertainty parameters. A new delay-dependent stochastic stability condition by a discretization technique is proposed in Theorem 1. Then, we will show the procedure to design the controller gains K_i , K_{di} , K_{hi} , $K_{\tau i}$, which guarantee the resulting closed-loop system is stochastically stable and satisfies an H_{∞} norm bound γ .

We choose a stochastic Lyapunov-Krasovskii functional candidate $V(.,.,.): \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}^+ \times S \to \mathbb{R}^+$ as

$$V(x, x_t, t, i) = \sum_{i=1}^{4} V_i(x, x_t, t, i)$$
 (5)

where

$$\begin{split} V_4(x,x_t,t,i) &= \int\limits_{t-\tau}^t \int\limits_{s}^t x(\theta)^T \ d\theta \end{split} \\ U_2[\int\limits_{s}^t x(\theta) \ d\theta] \quad ds \\ &+ \int\limits_{0}^{\tau} \int\limits_{t-s}^t (\theta-t+s) \, x(\theta)^T \ U_2 \ x(\theta) \ d\theta \ ds \end{split}$$

Theorem 1. The time-delay system (1) with Markovian switching parameters in (2) and without the norm-bounded uncertainties in (3) is stochastically mean square stable with the following LMIs

$$\begin{bmatrix} P_{1i} & Q_i \\ * & R+S \end{bmatrix} > 0, \qquad (6a)$$

where
$$P_i = \begin{bmatrix} P_{1i} & 0 \\ P_{2i} & P_{3i} \end{bmatrix}$$
 and
$$\Sigma_{1i} = sym \begin{pmatrix} P_i^T \begin{bmatrix} 0 & I \\ A_{1i} & -I \end{pmatrix} \end{pmatrix} + diag\{\sum_{j=1}^s \pi_{ij} P_{1j} + sym(Q_i) + S + H + \tau^2 U_2, U_1 \},$$

$$\Sigma_{2i} = P_{2i}^T A_{5i} + C_i^T C_{di} + (\sum_{j=1}^s \pi_{ij} P_{1j} - Q_{i0}) A_{4i} ,$$

$$\Sigma_{3i} = C_{di}^T C_{di} - H + \sum_{i=1}^s A_{4i}^T \pi_{ij} P_{1j} A_{4i} ,$$

Proof. See [28].

Remark 1. Note that the matrix $P_i = \begin{bmatrix} P_{1i} & 0 \\ P_{2i} & P_{3i} \end{bmatrix}$ (or, equivalently, the matrix P_{3i}) is non-singular due to the fact that the only matrix which can be negative definite in the first block on the diagonal of LMI (6b) is $\Sigma_{1i} < 0$.

Remark 2. If the switching modes are not considered, i.e. $S = \{l\}$, the jump linear system is simplified into a general linear system with nonlinearities and time delays. Then it is easy to conclude a criterion from Theorem 1, which can be used to determine the stability of such a system.

In the following, we present a condition for the stability of the time-delay system (1) with Markovian switching parameters in (2) and norm-bounded uncertainties in (3).

Theorem 2. Under Assumption 1, a state feedback controller given in the form (4) exists such that the time-delay system (1) with Markovian switching parameters in (2) is stochastically stable with an H_{∞} performance level $\gamma > 0$, if consist of the same components, an inductor, L, a capacitor, C

there exist some scalars δ_i, μ_i , matrices $\bar{P}_{2i}, \widetilde{H}$, $\widetilde{Q}_l, \widetilde{R} = \widetilde{R}^T$, $\widetilde{T} = \widetilde{T}^T, L_i$, L_{di} , L_{hi} , $L_{\tau i}$, and positive definite matrices \widetilde{P}_{1i} , \widetilde{U}_1 , \widetilde{U}_2 , \widetilde{S} $(l = 1, 2, \cdots, s)$, satisfying the following LMIs

$$\begin{bmatrix} \widetilde{P}_{li} & \widehat{Q}_i \\ * & \widehat{R} + \widehat{S} \end{bmatrix} > 0, \qquad (7a)$$

$$\begin{bmatrix} \hat{\Xi}_{ei} & \hat{\Gamma}_{di} & \mu \hat{\Gamma}_{ei} \\ * & -\mu_i I & 0 \\ * & * & -\mu_i I \end{bmatrix} < 0$$
 (7b)

where $\widehat{\Gamma}_{di}=[H_{1i}^T,\ \delta_iH_{1i}^T,\ 0,\cdots,0]^T,\ \widehat{\Gamma}_{ei}=[E_{1i}\ +E_{5i}L_i\ ,\ 0,$ $E_{2i} + E_{5i}L_{hi}$, $E_{4i} + E_{5i}L_{di}$, 0, $E_{3i} + E_{5i}L_{\tau i}$, $E_{6i}\overline{P}_{2i}$] and

$$\widehat{\Xi}_{ei} = \begin{bmatrix} \Lambda_{11} & \Lambda_{12} & \Lambda_{13} & \Lambda_{14} & \Lambda_{15} & \Lambda_{16} & \Lambda_{17} \\ \star & -\widetilde{S}_N & 0 & 0 & 0 & 0 & \Lambda_{27} \\ \star & \star & \Lambda_{33} & \varpi_i^2 \widetilde{P}_{1i} & 0 & 0 & \Lambda_{37} \\ \star & \star & \star & -\widetilde{U}_1 & 0 & 0 & 0 \\ \star & \star & \star & \star & -\widetilde{U}_2 & 0 & \Lambda_{57} \\ \star & \star & \star & \star & \star & -\gamma^2 I & 0 \\ \star & \star & \star & \star & \star & -\gamma^2 I & 0 \end{bmatrix},$$

and $\Lambda_{33} = -\widetilde{H} + \lambda_{\max}^{\tilde{z}} (A_{4i}) \sum_{j=1}^{s} \pi_{ij} \widetilde{P}_{1j}, \ \Lambda_{27} = D_i^T L_{hi}, \ \Lambda_{37} =$ $D_i^T L_{di}$, $\Lambda_{57} = D_i^T L_{\tau i}$ with $\varpi_i := \lambda_{\max}(A_{4i})$. Moreover, the controller gains in (4) can be designed as $K_i = L_i \bar{P}_{2i}^{-1}$, $K_{di} = L_{di} \bar{P}_{2i}^{-1}$, $K_{hi} = L_{hi} \bar{P}_{2i}^{-1}$, $K_{\tau i} = L_{\tau i} \bar{P}_{2i}^{-1}$.

Proof. See [28].

Remark 3. By setting $\eta = \gamma^2$ and minimizing η subject to LMIs (26), we can obtain the optimal H_{∞} performance level γ^* (by $\gamma^* = \sqrt{\gamma}$) and the corresponding control gains as well.

IV. DC-DC converters

There are three kinds of switching mode DC-DC converters, buck, boost and buck-boost. The buck mode is used to reduce output voltage, whilst the boost mode can increase the output voltage. In the buck-boost mode, the output voltage can be maintained either higher or lower than the source but in the opposite polarity. The simplest forms of these converters are schematically represented in Figure 1. These converters

and a switch, which has two states u = 1 and u = 0. All converters connect to a DC power source with a voltage (unregulated), V_{in} and provide a regulated voltage, v_o to the load resistor, R by controlling the state of the switch. In some situations, the load also could be inductive, for example a DC motor, or approximately, a current load, for example in a cascade configuration. For simplicity, here, only current and resistive loads are to be considered.

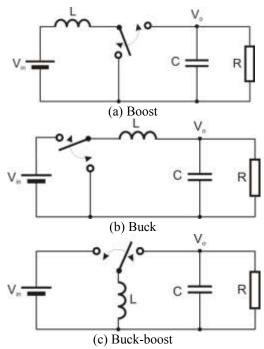


Figure 1. Switching-mode DC-DC converters: (a) buck, (b) boost and (c) buck-boost.

V. Averaged model of Basic PWM buck converter

Figure 1 shows the basic circuit of the nonlinear PWM buck converter proposed in [3]-[4] with an external disturbance $i_{load}(t)$ as proposed in [1]-[2]. R_m is the on-state resistance of the MOSFET transistor, R_L is the winding resistance of inductor, V_d is the threshold voltage of the diode and R_c is the equivalent series resistance of the filter capacitor. By applying the Kirchhoff's voltage law (KVL) and Kirchhoff's current law (KCL) in on-state of the MOSFET transistor case, we obtain [29]:

$$\begin{bmatrix} \dot{i}_{1}(t) \\ \dot{v}_{c}(t) \end{bmatrix} + E \begin{bmatrix} \dot{i}_{1}(t-d) \\ \dot{v}_{c}(t-d) \end{bmatrix} = \begin{bmatrix} \frac{-1}{L}(R_{1} + R \| R_{c}) & \frac{-R \| R_{c}}{LR_{c}} \\ \frac{R \| R_{c}}{CR_{c}} & \frac{-R \| R_{c}}{CRR_{c}} \end{bmatrix} \begin{bmatrix} i_{1}(t) \\ v_{c}(t) \end{bmatrix} + \begin{bmatrix} \frac{1}{L}(V_{in} + R_{m}i_{1}(t)) \\ 0 \end{bmatrix} + \begin{bmatrix} \frac{R \| R_{c}}{L} \\ -R \| R_{c} \\ CR_{c} \end{bmatrix} i_{load}(t)$$
(2)

where
$$E = \begin{bmatrix} c_1 & 0 \\ 0 & c_2 \end{bmatrix}$$
 and $R \| R_c = \frac{RR_c}{R + R_c}$.

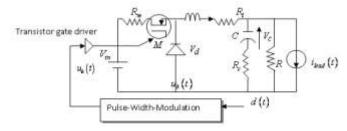


Figure 2. Schematic of a basic PWM buck converter.

Now, in off-state of the MOSFET transistor case and by applying of KVL and KCL, we get:

$$\begin{bmatrix} \dot{i}_{1}(t) \\ \dot{v}_{c}(t) \end{bmatrix} + E \begin{bmatrix} \dot{i}_{1}(t-d) \\ \dot{v}_{c}(t-d) \end{bmatrix} = \begin{bmatrix} \frac{-1}{L}(R_{1} + R \| R_{c}) & \frac{-R \| R_{c}}{LR_{c}} \\ \frac{R \| R_{c}}{CR_{c}} & \frac{-R \| R_{c}}{CRR_{c}} \end{bmatrix} \begin{bmatrix} i_{1}(t) \\ v_{c}(t) \end{bmatrix} + \begin{bmatrix} \frac{-V_{d}}{L} \\ 0 \end{bmatrix} + \begin{bmatrix} \frac{R \| R_{c}}{L} \\ \frac{-R \| R_{c}}{CR_{c}} \end{bmatrix} i_{load}(t)$$
(6)

Using Averaging Method of on One Time Scale Discontinuous system (AM-OTS-Ds) [30], the global dynamical behavior of the DC-DC converter is modeled as:

$$\begin{bmatrix} \dot{i}_{1}(t) \\ \dot{v}_{c}(t) \end{bmatrix} + E \begin{bmatrix} \dot{i}_{1}(t-d) \\ \dot{v}_{c}(t-d) \end{bmatrix} = \begin{bmatrix} \frac{-1}{L}(R_{1} + R \| R_{c}) & \frac{-R \| R_{c}}{LR_{c}} \\ \frac{R \| R_{c}}{CR_{c}} & \frac{-R \| R_{c}}{CRR_{c}} \end{bmatrix} \begin{bmatrix} i_{1}(t) \\ v_{c}(t) \end{bmatrix} + \begin{bmatrix} \frac{-V_{d}}{L} \\ 0 \end{bmatrix} + \begin{bmatrix} \frac{V_{in} + V_{d} + R_{m}i_{1}(t)}{L} \\ 0 \end{bmatrix} d(t) + \begin{bmatrix} \frac{R \| R_{c}}{L} \\ \frac{-R \| R_{c}}{CR_{c}} \end{bmatrix} i_{load}(t)$$

$$(10)$$

where d(t) is the duty cycle.

VI. Simulation results

In this section, with the aid of MATLAB LMI Toolbox [31], we use the averaged model of Basic PWM buck converter to illustrate the effectiveness and advantage of our design method. In this simulation, the PWM frequency equals to 1 kHz. The simulation parameters used in this work are as follows [3]:

 $R=6~\Omega$, $R_l=48.5~m\Omega$, $R_c=0.16~\Omega$, $R_m=0.27\Omega$, $V_{\rm in}=30V$, L=98.58~mH, $C=202.5~\mu F$, $I_{\rm lmin}=0A$, $I_{\rm lmax}=10A$ with the input current $i_{\rm load}=0.25\sin(1000~{\rm t})$. The DC-DC converter parameters are switching between two modes, i.e.,

transition matrix

$$\pi = \begin{bmatrix} -0.6 & 0.6 \\ 0.2 & -0.2 \end{bmatrix}$$

 $\pi = \begin{bmatrix} -0.6 & 0.6 \\ 0.2 & -0.2 \end{bmatrix}.$ The optimization problem described in (7) is solved for initial condition $x_0 = 0$, h=15 ms and c=0.3. Responses of the output voltage of PWM buck converter and the inductance current of PWM buck converter are, respectively, plotted in Fig. 3 and Fig. 4.

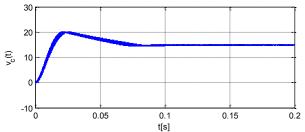


Figure 3. Response of the output voltage of PWM buck converter

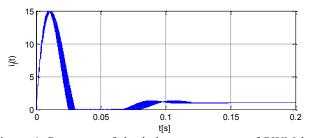


Figure 4. Response of the inductance current of PWM buck converter.

Conclusion

The problem of robust state feedback H_{∞} control was proposed for a class of Markovian swtiching systems with mixed discrete, neutral and distributed delays. Some required sufficient conditions were derived in terms of delaydependent LMIs using some free matrices and the Lyapunov-Krasovskii functional theory. The desired control is derived based on a convex optimization method. Numerical examples were given to illustrate the use of our results for a DC-DC converter model

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