

1 **Relationships between physical activity level and psychosocial and socioeconomic factors**  
2 **and issues in children and adolescents with asthma: a scoping review protocol**

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28 **Review question/objective:** The objective of this scoping review is first to identify and map  
29 instruments to measure psychosocial and socioeconomic factors associated with level of physical  
30 activity in children and adolescents with asthma that have been reported in the quantitative literature,  
31 and to report on the construction and validation of these instruments. The second objective is to  
32 identify and map psychosocial and socioeconomic issues related to PA level reported in the  
33 qualitative literature and to identify gaps in the evidence about the relationships between psychosocial  
34 and socioeconomic factors and PA level in children and adolescents with asthma.

35 **Review question 1:** Which instruments have been used to assess the associations between  
36 psychosocial and socioeconomic factors and PA level in children and adolescents with asthma in  
37 quantitative primary studies, and how has information about the construction, validity, and reliability of  
38 these instruments been reported?

39 **Review question 2:** Which psychosocial and socioeconomic issues related to PA level in children  
40 and adolescents with asthma have been explored in qualitative primary studies?

41 **Keywords:** Adolescents; asthma; children; physical activity; psychosocial factors

42

### 43 **Background**

44 Asthma is a chronic disease, characterized by airway inflammation which causes expiratory airflow  
45 limitation, shortness of breath, chest tightness, wheeze, and cough.<sup>1</sup> In children and adolescents with  
46 asthma, the disease may reduce perceived capability for,<sup>2</sup> and participation in physical activity (PA).<sup>3</sup>  
47 Physical activity is defined as any bodily movement such as play, exercise, or daily activities  
48 produced by the contraction of skeletal muscles that increases energy expenditure above resting  
49 levels.<sup>4</sup>

50 The PA level may be assessed in terms of the intensity, frequency, type, mode, and duration.<sup>5</sup>  
51 Physical activity can be recorded by objective measures of energy expenditure or movement (e.g.,  
52 steps per day, distance, accelerometer counts per minute, heart rate, or oxygen consumption), by  
53 subjective reports of exhaustion, or by descriptive measures of the activities.<sup>6</sup> Objective measures of  
54 acute airflow limitation induced by vigorous PA (exercise-induced bronchoconstriction (EIB)) do not  
55 completely explain children's and adolescents' reports of exercise-induced symptoms.<sup>7,8</sup>  
56 Nevertheless, exercise limitation and reduced PA are frequently reported to be associated with  
57 physiological mechanisms, respiratory symptoms,<sup>3,9-19</sup> and psychosocial and socioeconomic factors in  
58 children and adolescents with asthma.<sup>2,9,10,14,18,20-26</sup> Barriers to PA have been described in qualitative  
59 research and include fear of breathlessness and misinterpretation of symptoms,<sup>27</sup> and are influenced  
60 by gendered habits,<sup>28,29</sup> social support,<sup>30-32</sup> role models, and efforts to appear similar to peers.<sup>33,34</sup>

61 Participation in PA is considered feasible by children and adolescents with asthma when  
62 using appropriate controller medications.<sup>35-38</sup> Increased PA is associated with increased

63 cardiorespiratory fitness,<sup>36,37,39</sup> psychological functioning,<sup>38</sup> health-related quality of life,<sup>36-40</sup>  
64 psychological well-being, and self-esteem, and decreased morbidity.<sup>37-39</sup> Increased fitness may also  
65 elevate the EIB threshold by reducing ventilatory requirement for any PA involving play or  
66 exercise.<sup>37,41</sup>

67 There is no consensus in the literature about whether children and adolescents with asthma  
68 perform less PA than their healthy peers.<sup>38,42</sup> Some studies have reported similar fitness and PA  
69 levels in children with asthma compared with controls.<sup>43-46</sup> Lower PA and fitness levels<sup>2,10,14,15</sup> have  
70 been identified in children and adolescents who are newly diagnosed or have poor asthma control.<sup>3,19</sup>  
71 Asthma control is defined as “the extent to which the manifestations of asthma have been reduced or  
72 removed by treatment.”<sup>47(p545)</sup>

73 Asthma symptoms and lung function may change rapidly in response to environment and/or  
74 treatment, whereas airway wall remodeling and responsiveness tend to change slowly. Thus, the  
75 clinical manifestations and the underlying disease mechanisms of asthma do not always  
76 correspond.<sup>47</sup> An asthma diagnosis may include four domains: symptoms, variable airway obstruction,  
77 inflammation, and hyperresponsiveness.<sup>47</sup> Various combinations of one or more of these four  
78 domains and other features are included when defining the disease, and there are also differences in  
79 asthma control and severity between study populations. Asthma severity is defined by the treatment  
80 intensity required to obtain asthma control.<sup>47</sup> Deficient asthma control may also occur through poor  
81 compliance, poor inhaler technique, under-prescribing, environmental factors, severe disease, and/or  
82 resistance to therapy.<sup>47</sup> Hence, the associations between PA and asthma, asthma control, and  
83 asthma severity are complex and involve both psychosocial and socioeconomic issues.<sup>20</sup>

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#### 85 *Asthma and PA from childhood into adolescence*

86 The disease,<sup>48</sup> level of PA,<sup>49-51</sup> and management of both asthma and PA continue to develop  
87 throughout childhood and adolescence.<sup>48,52</sup> Asthma is more common in boys than girls during  
88 childhood<sup>53</sup> but is more common in girls during adolescence.<sup>48,54</sup> Parents are responsible for  
89 managing their child’s asthma, whereas shared responsibility by the adolescent and parents is  
90 desired to enhance the adolescent’s growing responsibility for managing his/her disease.<sup>55</sup>

91 In healthy children, PA level varies according to gender<sup>49-51</sup> and social support.<sup>50</sup> Peer support  
92 positively influences PA across gender,<sup>50</sup> age and location.<sup>56,57</sup> The influence of social support from  
93 parents and teachers, and the influence of the physical environment may change with time and  
94 location (at school, or home, during school or leisure time, and during the week and weekend), and  
95 age development.<sup>56,57</sup> Such changes may be related to major shifts in autonomy, parental license, and  
96 movement to different schools during childhood and adolescence.<sup>56</sup> Eighty percent of school-age  
97 adolescents worldwide do not reach international recommendations of 60min/day of moderate-to-  
98 vigorous PA (MVPA).<sup>58,59</sup> There is a need for more information about why some individuals are active

99 and others are not, in particular the psychosocial and socioeconomic determinants of differences in  
100 PA levels.<sup>60</sup>

101 Psychosocial factors include individually measured perceptions or cognitions of intrapersonal  
102 factors (motivation, beliefs and cognition), interpersonal factors (support from others and cultural  
103 norms and practices), and contextual factors (social, built and natural environment), These factors  
104 and their interactions have been described by several theories and models.<sup>60</sup> Socioeconomic factors  
105 are explained by a multidimensional concept comprising resources, power, and/or prestige, and  
106 include educational level, income, and occupation at an individual, household, or neighborhood  
107 level.<sup>61</sup> These measures are not interchangeable<sup>61</sup> and, in children and adolescents, indicative  
108 measures are often used, such as car ownership, internet access, and unshared bedrooms.<sup>62,63</sup> Such  
109 indicative measures must be refined according to economic, technological and societal changes in a  
110 given society.<sup>63</sup> Hence, transparency concerning the steps taken in the development of instruments  
111 and reporting of in-study reliability and validity is needed when mapping knowledge about the  
112 associations between these factors and PA in given populations. In addition, mapping of psychosocial  
113 and socioeconomic issues in relation to PA by qualitative research may strengthen the evidence  
114 derived using quantitative instruments.

115

#### 116 *Rationale for the review*

117 As outlined above, there is a need for more detailed evidence about the psychosocial and  
118 socioeconomic influences on PA level<sup>60</sup> in children and adolescents with asthma, especially in those  
119 with specific challenges to being active because of airflow limitation, who may benefit from increased  
120 PA. To our knowledge, there is no consensus about the best instruments to assess psychosocial and  
121 socioeconomic factors that may influence PA in children and adolescents with asthma. A scoping  
122 review on this topic is therefore needed before further studies or synthesis of research findings can be  
123 conducted to identify the factors that may be feasible, appropriate, meaningful, and effective for  
124 inclusion in interventions aimed at increasing PA level in children and adolescents with asthma. This  
125 scoping review will follow the methodology of Peters et al.<sup>64</sup> An initial search in *JBI Database of*  
126 *Systematic Reviews and Implementation Report*, PROSPERO, Cochrane Library, PEDro, Embase,  
127 CINAHL, Medline, SPORTDiscus, SocINDEX, Academic Search Complete, PsycINFO, and ISI Web  
128 of Science was performed. To our knowledge, no systematic or scoping review on this specific topic  
129 has been published or is currently under way.

130

#### 131 **Inclusion criteria**

##### 132 *Types of participants*

133 In this review, we will consider studies that include children and adolescents with asthma aged 6-18

134 years. The given age range includes school-age children and adolescents, who are more likely to  
135 participate autonomously in physical education and organized sports than are preschool children and  
136 therefore are more likely to report autonomously about their participation in PA and associated  
137 factors. No uniform definition of asthma will be required for inclusion. The definitions of asthma and  
138 descriptions of participants with regard to asthma control, severity, comorbidities, and other conditions  
139 given in the primary studies will be mapped and reported. Studies including caregivers as research  
140 participants who report the psychosocial and socioeconomic factors and issues relating to their  
141 children's PA participation will be included. The distinction regarding children/adolescents' own  
142 reports and caregivers' reports will also be mapped and reported.

### 143 **Concept**

144 In this review, we will consider studies that have investigated or explored the psychosocial and  
145 socioeconomic factors and issues in relation to the level of and participation in PA.

### 146 **Context**

147 In this review, we will consider studies including all contexts of PA such as school time, leisure time,  
148 time at home, and organized exercise time performed in all and countries.

### 149 **Types of studies**

150 In this review will consider primary research studies only. In accordance with the aim of the review, we  
151 will ensure that all known studies identified by the comprehensive literature search are reported only  
152 once and are not double-reported in both primary and review studies.

153 The quantitative component of the review will consider for inclusion both experimental and  
154 epidemiological study designs including randomized controlled trials, nonrandomized controlled trials,  
155 quasi-experimental studies, before-and-after studies, prospective and retrospective cohort studies,  
156 case-control studies, analytical and descriptive cross-sectional studies, case series, and individual  
157 case reports.

158 The qualitative component of the review will consider studies that focus on qualitative data  
159 including, but not limited to, designs such as phenomenology, grounded theory, ethnography, action  
160 research, and feminist research, and in which children and adolescents with asthma are interviewed  
161 and/or observed themselves.

### 162 **Search strategy**

163 The search strategy aims to trace both published and unpublished studies. A three-step search  
164 strategy will be used for the review. An initial limited search of Medline and SPORTDiscus has been  
165 undertaken followed by an analysis of the text words contained in the title, abstract, and index terms  
166 used to describe each article. Search terms for psychosocial and socioeconomic factors partly  
167 covering the concept components did not delimit the search results and were thus excluded. A

168 second search using all identified keywords and index terms will then be undertaken across all  
169 included databases. The reference list of all identified reports will then be searched, and forward  
170 citation searches in ISI Web of Science, Scopus, and Google Scholar will be performed. Studies  
171 published in English, unrestricted by the date of publication, will be considered for inclusion.

172 The databases to be searched will include:

173 Medline, Embase and PsycINFO via Ovid interface, CINAHL, SPORTDiscus, Academic  
174 Search Complete and SOCIndex via EBSCO Host interface, Social Science Index and ISI Web of  
175 Science.

176 The search for unpublished studies will include:

177 Primo Central Index, ProQuest Nursing & Allied Health Source, ProQuest Health  
178 Management, ProQuest Psychology Journals and ProQuest Health & Medical Complete.

179 The initial keywords to be used will be:

180 (adolescen\* OR child\* OR schoolchild\* OR teenage\* OR young OR youth\*) AND ((exercise\*  
181 OR inactiv\* OR motor activ\* OR physical activ\* OR play\* OR sport\* OR training\*) ADJ4<sup>1</sup> (amount\* OR  
182 daily\* OR dose\* OR duration\* OR energy expenditure\* frequen\* OR hour\* OR insufficient\* OR intens\*  
183 OR less\* OR level OR minute\* OR moderate\* OR more\* OR participat\* OR sufficient\* OR vigorous\*  
184 OR week\* OR )) AND asthma\*.

185

## 186 **Extraction of the results**

187 For review, relevant descriptive information, data, and findings will be extracted and charted from  
188 papers included in the review. Appendix 1 presents the initial information that will be extracted. This  
189 table may be expanded and adapted during the course of the review, and changes will be reported in  
190 the published scoping review report. In line with the review questions, there will be no attempt to  
191 contact authors for extraction concerning information not reported.

## 192 **Presentation of the results**

193 The presentation of results will follow the logical form of the review questions. Identified psychosocial  
194 and socioeconomic issues and factors associated with PA level will be classified as intrapersonal,  
195 interpersonal, or contextual and will be presented in an overview chart, including the references as a  
196 way to identify the study characteristics, population, and design of each study. The instruments  
197 identified will be presented in a separate chart, which will report the instrument's construction, and the

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<sup>1</sup> ADJ4 means keywords combined with no more than 4 other words in between. N4/NEAR4 is also used in different interfaces and databases.

198 in-study validity and reliability analyses. A narrative summary will be used to answer each review  
199 question and will include commentary on the consensus between studies and gaps in knowledge. In  
200 the narrative summaries, if feasible, the key findings will be described in terms of the characteristics of  
201 the study population and design.

202 **Conflicts of interest**

203 The authors report no conflicts of interest. The authors alone are responsible for the writing of the  
204 study protocol.

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211

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**Appendix 1** Extraction chart for papers included in the review.

Author(s), year of publication, and origin/country of study	Aim of the study	Study population (recruitment strategy, gender, age, asthma status and definition)	Design	Outcome assessment (PA level)	Instrument used to assess (1) psychosocial or (2) socioeconomic factors	Key findings: associations between PA level and (1) psychosocial and (2) socioeconomic factors	Key findings: construction and validation of instruments used to assess associations between PA level and (1) psychosocial and (2) socioeconomic factors	Key findings: psychosocial and socioeconomic issues related to participation in PA

Abbreviations: PA; physical activity